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INTELLECTUAL PROPERTY LAW INCLUDING PATENTS, TRADEMARKS AND COPYRIGHTS TELEPHONE: (818) 885-1575 FACSIMILE: (818) 885-5750

## <u>AMENDMENT</u>

TO:

USPTO/ Examiner S. Ali, Group 2127

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(703) 872-9306

JUL 0 6 2004

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FROM:

Edmond A. DeFrank

OFFICIAL

DATE:

July 6, 2004

RE:

**AMENDMENT UNDER 37 CFR 1.111** 

Serial No. 09/779,369

Our Docket No. AUS9-2000-0535-US1

Entitled: METHOD AND SYSTEM FOR MANAGING LOCK

**CONTENTION IN A COMPUTER SYSTEM** 

Filed: February 8, 2001

By: Hua et al.

Pages:

9 (NOT including cover sheet)

Please find attached an amendment under 37 CFR 1.111 for the above matter in response to the Office Action dated April 5, 2004. Also is attached is a Transmittal Letter.

Thank you.

Edmond A. DeFrank

Edand a. Heter

LAW OFFICES OF EDMOND A. DEFRANK 20145 Via Medici Northridge, CA 91326

#### PATENT APPLICATION

ATTORNEY DOCKET NO. AUS9-2000-0535-US1

		UNITED STA	ATES PAT	IN THE ENT AND TRADEMAR	RK OFFICE		٠			
Inve	nventor(s): Hua et al.					Confirmation No.: 6031				
App	plication No	.: 09/779,369			E	xaminer: S.	Ali			
Filing Date: Feb. 8, 2001 Group Art Unit						it: 2127				
Titi	<b>e:</b>	METHOD AND SY SYSTEM	STEM FC	PR MANAGING LOCK	CONTENTIO	ON IN A CO	MPUTER			
Cor	il Stop Amer nmissioner Box 1450 xandria, VA	For Patents . 22313-1450								
		TRANSMIT	TAL LETT	ER FOR RESPONSE/A	MENDMEN.	<u> </u>				
Sir:		,								
			llowing in	the above-identified	• •					
(X) Response/Amendment ( ) Petition to extend time to resp						ond				
	( ) New fee as calculated below ( ) Supplemental Declaration									
(X)										
1	CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY									
	(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(6) PRESENT RATE EXTRA		(7) ADDITIONAL FEES			
	TOTAL CLAIMS	18	MINUS	20	= 0	× \$18	\$	0		

	CLAI	MS AS AME	NDED BY OT	HER THAN A	SMALL	ENTIT	Y			
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR		(5) PRESENT EXTRA		(6) RATE		(7) ADDITIONAL FEES	
TOTAL CLAIMS	18	MINUS	20		=	o	×	\$18	\$	0
INDEP. CLAIMS	3	MINUS		<b>3</b> <sup>-</sup>	=	0	×	\$86	\$	0
[ ] FIR	ST PRESENTATION OF	A MULTIPLE	DEPENDENT	CLAIM			+	\$290	\$	0
EXTENSION FEE	1ST MONTH \$110.00		MONTH 20.00	3RD MON \$950.00		i	1 MON 480.0		\$	0
						0	THER	FEES	\$	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$	0			

0 to Deposit Account 09-0447. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 09-0447 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 09-0447 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

(Χ) hereby	certify that this pa	per is be	ing transmitted
to the Pa	atent and Trademar	k Office	facsimile
number	(703) 872-9306	on _	July 6, 2004

Number of pages: 9

Typed Name: Edmond A. DeFrank

Edmond A. DeFrank

Attorney/Agent for Applicant(s)

Reg. No. 37,814

Date: July 6, 2004

Rev 06/04 (TnAmdFax)

Telephone No.: (818) 885-1575 - Attach as First Page to Transmitted Papers -

Serial No.: 09/779,369 Attorney Docket No.: AUS9-2000-0535-US1

CERTIFICATE OF TRANSMISSION

I hereby certify that this paper and every paper referred to therein as being transmitted via facsimile to: (703) 872-9306 Commissioner for Patents, Arlington, VA 22202,

(Date of Deposit) \_\_9\_ (No. of pages)

Ву

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JUL 0 6 2004

PATENT

**OFFICIAL** 

Attorney Docket No: AUS9-2000-0535-US1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hua et al.

09/779.369

February 8, 2001

Group Art Unit: 2127

Examiner: S. Ali

For:

Filed:

Serial No.:

METHOD AND SYSTEM FOR MANAGING LOCK

**CONTENTION IN A COMPUTER SYSTEM** 

#### **AMENDMENT UNDER 37 CFR 1.111**

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated April 5, 2004, please enter the following amendments in the above-identified patent application.

Amendments to the Claims are reflected in the listing of the claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.